

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki, et al.      Art Unit : 1722  
Serial No. : 09/892,225      Examiner : Mathew J. Song  
Filed : June 25, 2001      Conf. No. : 1969  
Title : SEMICONDUCTOR DEVICE AND FABRICATION METHOD THEREFOR

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

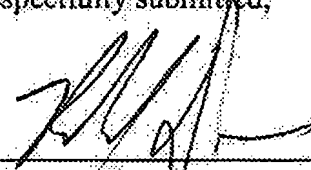
INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form.  
Copies of any listed U.S. patents can be provided upon request.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. The \$180 late submission fee under §1.17(p) is being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 8/1/07

  
\_\_\_\_\_  
Roberto J. Devozo  
Reg. No. 55,108

Customer No. 26171  
Fish & Richardson P.C.  
1425 K Street, N.W. - 11th Floor  
Washington, DC 20005-3500  
Telephone: (202) 783-5070  
Facsimile: (202) 783-2331  
/adt  
40433578.doc

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-279001	Application No. 09/892,225
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant Shunpei Yamazaki, et al.	
		Filing Date June 25, 2001	Group Art Unit 1722
(37 CFR §1.98(b))			

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/MS/	AA	4,569,894	02/11/1986	SAITOH et al.			01/11/1984
	AB	5,241,214	08/31/1993	HERBOTS et al.			04/29/1991
	AC	5,461,250	10/24/1995	BURGHARTZ et al.			08/10/1992
	AD	5,591,653	01/07/1997	SAMESHIMA et al.			05/15/1995
	AE	5,619,044	04/08/1997	MAKITA et al.			04/14/1995
	AF	5,712,191	01/27/1998	NAKAJIMA et al.			09/08/1995
	AG	5,888,858	03/30/1999	YAMAZAKI et al.			01/16/1997
	AH	5,904,567	05/18/1999	YAMAZAKI			09/09/1997
	AI	6,180,439	01/30/2001	YAMAZAKI et al.			01/16/1997
	AJ	6,287,944	09/11/2001	HARA et al.			08/18/1999
	AK	6,444,390	09/03/2002	YAMAZAKI et al.			02/17/1999
	AL	6,703,265	03/09/2004	ASAMI et al.			08/01/2001
	AM	6,939,755	09/06/2005	OHTANI et al.			01/07/1999

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AN							
	AO							
	AP							
	AQ							
	AR							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AS	
	AT	
	AU	

Examiner Signature /Matthew Song/	Date Considered 10/15/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	